



DIRECT WINE SHIPPER – WINE FULFILLMENT CENTER

ABC Licensing & Compliance Division Pre-Application

2715 Gunter Park Drive West
Montgomery, AL 36109

This Pre-Application packet must be completed in full.

In this packet is a list of documents that are REQUIRED to obtain a Direct Wine Shipper and/or Wine Fulfillment Center License. Once you gather ALL documents listed on the checklist and complete this Pre-Application entirely, you will need to scan and email the packet in one PDF file to applications@abc.alabama.gov or mail to the address above for review. Once it is reviewed, you will be notified of any corrections that need to be made. If no corrections are necessary, you will be contacted to provide application payment information.

Please use the attached checklist (Form LCD-40) to assist you in gathering the necessary documents for your application. If you have any questions, please contact applications@abc.alabama.gov.

1. Applicant Name: _____
(Individual or legal entity responsible for this license; (i.e. sole proprietor, Corporation, Association, LLC, Partnership, LLP))
2. Doing Business As/Trade Name: _____
3. Location Address: _____
Street Address (Include Suite/Unit/Building Number) City/County State Zip Code
4. Type of License you are applying for: Direct Wine Shipper
Wine Fulfillment Center
Additional Wine Fulfillment Center
5. Is the applying entity a publicly traded company? Yes No
6. Type of Ownership: _____
(Individual, Partnership, LLP, LLC, Corporation, Association)
7. State Incorporated: _____ 11. Date Incorporated: _____
12. County Incorporated: _____ 13. Date of Authority to do Business in AL: _____
14. Federal Tax ID number: _____
15. Mailing Address: _____
Street Address (Include Suite/Unit/Building Number) City/County State Zip Code
Check here if same as location address listed in 3.) above
16. Business Web Address (if applicable) : _____

Contact Information: The contact listed below should be the individual the local ABC Division office will contact regarding this application for any corrections and/or questions that arise throughout the application process, as well as for any future communication with the licensed business. **Please Note: It is extremely important to notify the ABC Board of any changes to the licensee's contact information for renewal purposes**

17. Contact Name: _____ Contact Relationship to Applicant: _____
(i.e. Owner, Power of Attorney, etc.)
- Contact Home Number: _____ Contact Cell Phone: _____
Contact Business Number: _____ Contact Fax Number: _____
Contact Email Address: _____

Individual or Officer Information: The following information is required for every person with profit or proprietary interest in the applicant. This includes, but is not limited to, all members of an LLC or all officers of a corporation. This does not apply to publicly traded corporations; however, publicly traded companies must still submit a list of its officers at the time of application.

Full Name: _____

First

Middle

Last

Title: _____ Driver's License Number/State: _____ Expiration Date: _____

Date of Birth _____ Place of Birth: _____

I am a: United States Citizen Legal Resident of the United States

Social Security Number: ____--____--____ Home Phone Number: _____ Cell Phone Number: _____

Residence Address: _____
Street Address (Include Suite/Unit/Building Number) City County Zip Code

Have you ever legally changed your name? Yes No

Have you ever legally changed your social security number? Yes No

Please list all known Aliases and Nicknames: _____

Full Name: _____

First

Middle

Last

Title: _____ Driver's License Number/State: _____ Expiration Date: _____

Date of Birth _____ Place of Birth: _____

I am a: United States Citizen Legal Resident of the United States

Social Security Number: ____--____--____ Home Phone Number: _____ Cell Phone Number: _____

Residence Address: _____
Street Address (Include Suite/Unit/Building Number) City County Zip Code

Have you ever legally changed your name? Yes No

Have you ever legally changed your social security number? Yes No

Please list all known Aliases and Nicknames: _____

Full Name: _____

First

Middle

Last

Title: _____ Driver's License Number/State: _____ Expiration Date: _____

Date of Birth _____ Place of Birth: _____

I am a: United States Citizen Legal Resident of the United States

Social Security Number: ____--____--____ Home Phone Number: _____ Cell Phone Number: _____

Residence Address: _____
Street Address (Include Suite/Unit/Building Number) City County Zip Code

Have you ever legally changed your name? Yes No

Have you ever legally changed your social security number? Yes No

Please list all known Aliases and Nicknames: _____

**** Additional officers/members must be listed on a separate sheet**

18. Has applicant complied with Financial Responsibility ABC Rules and Regulations 20-X-5-.14 regarding Liquor Liability?
 Yes No Liquor Liability Expiration Date: _____
19. How will you be funding the business? (i.e. loan, individual, business, other?): _____
20. Does ABC have any pending actions against you or any member of the applying entity? Yes No
 If yes, please explain in detail: _____
21. If a transfer, does ABC have any pending violations against the current licensee? Yes No
 If yes, please explain in detail: _____
22. Has anyone, including the manager or applicant, had a Federal/State permit or license suspended or revoked? Yes
 No
 If yes, please explain in detail: _____
23. Are the applicant(s) named above the only person(s) interested in the business sought to be licensed (i.e. silent partner)? Yes No
 If no, please explain in detail: _____
24. Does anyone involved with this license application have any monetary interest in any other ABC licensed/permitted establishment? Yes No
 If yes, please explain in detail: _____
25. Does applicant own or control, directly or indirectly, hold lien against any real or personal property which is rented, leased or used in the conduct of business by the holder of any vinous, malt or brewed beverage, or distilled liquors permit or license issued under the authority of this act? For example, applicant is applying for a retail beer license but also owns a property that is a licensed premises to manufacture beer. Yes No
 If yes, please provide business name and license number: _____
26. Is the applicant receiving, either directly or indirectly, any loan, credit, money, or the equivalent thereof, from or through a subsidiary or affiliate or any other licensee, or from any firm, association, or corporation operating under or regulated by the authority of this act? For example, applicant is applying for a restaurant license and borrowed money to open their business from the owner of a distillery. Yes No
 If yes, please provide business name and license number: _____
27. Has any person(s) with any interest, whether as applicant, officer, member or partner been charged (whether convicted or not) with any law violation(s) – Include DUIs, but can exclude minor traffic offenses: Yes No
28. If yes, please explain below:

Name	Violation & Date	Arresting Agency	Disposition

*****List any additional violation history on a separate sheet*****

Additional Information Required For Direct Wine Shipper Applicants ONLY:

- 29. Wine Manufacturer License Number: _____
- 30. Wine Manufacturer License State of Issuance: _____
- 31. Wine Manufacturer License Date of Issuance: _____
- 32. Wine Manufacturer License Date of Expiration: _____
- 33. Federal Basic Wine Manufacturing Permit Number: _____
- 34. Alabama Sales Tax ID (In-State) / Simplified Sellers Use Tax ID (Out of State): _____

IMPORTANT FACTS ABOUT AN ABC LICENSE

- The ABC Board must be notified, in writing, of any changes in ownership with-in twenty days. NO EXCEPTIONS.
- Alabama ABC Licenses operate on a fiscal year and expire annually on September 30th. The license Renewal Period is from June 1st through July 31st of each year. The State of Alabama does NOT pro-rate the license fee.
- ABC licenses will be renewed online annually and printed by the licensee.
- All ABC Licensees are required to provide a valid e-mail address in order to receive their renewal notice and other important announcements.
- Any and ALL areas of an ABC licensed/permitted location is subject to inspection for compliance during their regular business hours by any Alabama ABC License Inspectors, any Law Enforcement Agency, and any other appointed agents of the Board.
- Any ABC Licensed location is enforced according to and must abide by state laws set forth by Code of Alabama 1975, Title 28, and ABC Rules and Regulations. Both can be found on our website, www.alabcboard.gov.

SIGNATURE REQUIRED:

I, _____, attest to the truthfulness of the responses given within the above license application.
(Print Name)

(Signature)

(DD/MM/YYYY)